

## **Warren Mosler crimes Proof File “7 – Warren Mosler Insider Trading via AVM, LLC and III Capital Management”**

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### **BACKGROUND**

Warren Mosler has spent the past 2 decades constructing destructive financial instruments, that serve as a TOOL to enrich himself via trading within his Hedge Fund, III Capital Management (‘III-CM’). III-CM is a \$57,000,000,000 [\$57 Billion] Hedge Fund that is under the purview of the Securities and Exchange Commission (‘SEC’). Most hedge funds are scarcely investigated by the SEC, thus they have great freedom to harm their trading partners, and the public at large. Bernie Madoff’s Ponzi-Scheme hedge fund is an example of how little the SEC polices these powerful funds.

To execute trades in III-CM and earn commissions on other Bond and “Derivatives” trading [which is betting, and is described as such in III-CM; Warren Mosler founded a Broker-Dealer. The Broker-Dealer was called “Adams, Viner, and Mosler”, but later shortened to “AVM, LLC” after more partners were added.

I worked for AVM, LLC (‘AVM’) for approximately 5 months leading up to the Lehman Brothers Bankruptcy that wiped out approximately half of the wealth that had accumulated in III at the time. As a result, I was (thankfully) returned to working at MACC after the 5-month assignment at AVM.

I witnessed first-hand the destruction of III-CM; which was (in-part) due to the invention of The Mortgage-Backed Securities Derivatives (‘MBS’). That Invention was abused by profiteering bankers, who raked-in gratuitous bonuses and created a housing bubble based upon the fraud-claim that by aggregating lots of mortgage loans given to people who couldn’t afford them, the risk would be minimized. That “narrative” was sold as truth, and when the truth eventually came out, the bubble popped and the world suffered. Many of those who put “bets” on MBS (and previously profited) lost; but the American taxpayers bailed them out under the premise if we didn’t there would be further destruction. Those who got rich from MBS’s rise, had the capital to bail themselves out – but “we” bailed them out instead.

Warren Mosler’s AVM, III-CM, and MACC all suffered alongside the billions of people who suffered from the MBS invention.

**Mr. Mosler has a new invention: Modern Monetary Theory (“MMT”).**

## MY EDUCATIONAL BACKGROUND (STATED FOR THE PURPOSE OF CREDIBILITY)

Batchelor of Science in Mechanical Engineering from **Virginia Tech**;

3.7 / 4.0 in-major

Master of Business Administration from **Yale University**;

GMAT score: 710 (97% percentile)

Awarded “Distinction” in Economics and Game Theory

- I was the only student in the class of 2000 to score 100% on the Game Theory final exam. My professor was Barry Nalebuff, co-founder of Honest Tea.
  - Game Theory is looking forward, and reasoning back to discern decisions.
- I hold 3 patents, and am currently developing use of Statistical Process Control methodology in controlling the quality of Judicial decisions [rooting out bribery].

I have personal experience with and proof off Warren Mosler committing a wide array of crimes. I mention this to buttress the fact that Insider Trading is not at all a stretch for this man; and it is in-fact why he has the financial capability to be so ruthless.

1. Perjury (both directly and inducing his paid employees to lie under oath)
2. Blackmail
3. Extortion
4. Willfully Violate EPA Clean Air Laws, defrauding supercar buyers
5. Welch on \$25,000 Press bet that no factory car could beat his Consulier; and similarly welching on a \$100,000 Press bet a few years later.
6. **Cheat-to-Win** Car & Driver’s Lightning Lap TWICE – via 630hp cheatermotors.
7. Tax Evasion (and also unethical Tax Avoidance)
8. STEAL FROM ALL OF US, WHILE GIGGLING ABOUT THE RAMIFICATIONS TO ORDINARY PEOPLE (the theme of this Insider-Trading Proof File)
9. Bribe at least one Judge; Judge Luis Delgado out of Puerto Rico

I have made the decision to report and expose the vast array of harm and crime that Warren Mosler has levied not only upon me, but upon all Americans.

Equally important to me; I expect and hope this disclosure wakes up the world to the monumental destruction that Warren Mosler's WARLORD ECONOMICS (rebranded as "Modern Monetary Theory") is levying on everyone who isn't a Money-Lender.

***As a shock-introduction to what Warren Mosler has been doing to us: Every person in Zimbabwe is a Billionaire*** – because Warlord Economics A/K/A MMT led those in power there to print money to buy themselves weapons, limousines, and power. Result, those not in power became even more impoverished...with no ability to stop those in power from printing more.



- I am declaring myself a Whistleblower for this issue of Insider Trading, and all issues contained within this document dated June 30, 2024.

## HOW I AM IN THE POSITION TO EXPOSE INSIDER TRADING

Upon joining AVM, the first thing I was tasked with was to read and understand Warren Mosler's book, "Soft Currency Economics". This book makes no sense to the majority of readers, and I admit it took me a while to digest and understand it. The cryptic nature of the "Modern" theory is designed to disguise total B.S. - but it gives Biden and Leftist governments around the world a justification for printing Trillions of dollars to make every dollar that ordinary people hold to be worth less and less and less (A/K/A Inflation).

While working for AVM, I recorded hundreds of Billions (USD) worth of trades (internally described as "bets"). Warren Mosler's "Valance" company out of St. Croix provided market data to AVM and III-CM via weekly emails. Mosler's visits to the Federal Reserve got a lot of attention, as there was rich U.S. Treasury Securities betting information available after those private meeting to discuss monetary policy.

Trial Tr. Warren Mosler testifying to his own atty's questions; pg 2073 ln 4 – 16

4 Q Why were you speaking with the Federal

5 Reserve?

6 A The Federal Reserve was looking for people

7 like myself who were **major players** in the financial

8 markets to get their feel as to what was going on out

9 there to better **help them assess monetary policy**.

10 Q And were you ever -- did you do anything

11 newsworthy with respect to the Fed?

12 A I can't recall. Did I get interviewed --

13 ***Q Well, was your being involved with the Federal***

14 ***Reserve the subject of any news*** regardless?

15 A Not that I recall. These were confidential

16 meetings, or they were private meetings.

## MECHANISM FOR OBTAINING INSIDER INFORMATION FOR HEDGE FUND BETS

**STEP 1:** Generate a complex “theory” that has some foundation in facts i.e. Governments control money, and citizens have no choice but to obtain the government’s money in order to pay taxes (else go to prison).

**STEP 2:** Invest time to cultivate a following around your “theory”; cultivate conflict between those who adopt your theory and those who oppose your theory.

**STEP 3:** Cultivate relationships with those in power who could benefit from your “theory”. These people don’t have to understand the theory; it is only necessary that you convince them that it could make them richer.

- Warren Mosler ran for President of the United States as a Democrat
- Warren Mosler then ran for Senator of Connecticut as a Democrat

**STEP 4:** Use relationships with Leftist leaders (Bernie Sanders being one of several) to have an opportunity to PITCH the “print all the money you want” theory to more and more people. **Make those people think that printing money is a solution** (not a problem).

- Money-in-circulation DOES need to grow as a population grows, so it is not that money printing is 100% bad.
- What is harmful is ABUSING the “printing” process.
- *When I discussed Mosler’s book with him, he said; “Governments don’t even have to physically print the money; they just change numbers on a spreadsheet.”*
  - At the time, I had no idea the Mosler was capable of the array of criminal things described above. Mosler should not be influencing our spreadsheet.

Trial Tr. Warren Mosler testifying pg 769 ln 18 – pg 770 ln 1

18 to schedule -- I wasn't there to sell the cars. If I

19 **had been there acting as a car salesman as opposed to an**

20 **economic consultant, I would not have ever been invited**

21 **back.**

22 Q Okay.

23 A But anyway, this guy Ben -- I don't know who  
24 he was. I didn't know him at all. I only met with  
25 Blumenthal and Sanders. I met with **Bernie Sanders** and  
1 his staff, Matt Starr, who was a friend of mine.

**STEP 5:** SECRETLY, get inside the fortress that is the Federal Reserve of the United States of America; and also the Federal Reserves of countries all around the world.

Trial Tr. James Todd Wagner in cross-examination by Mosler's atty pg 1299 ln 5 – 12

5 Q Okay. And yesterday you mentioned that Warren  
6 Mosler supposedly knew Savvas Savopoulos because of some  
7 private jet relationship, right?

8 A Yes. Mr. Mosler advises the Federal Reserve,  
9 so **he gets to go inside the building** and that's all done  
10 in D.C. Savvas Savopoulos also lived in D.C. and both  
11 of them have private jets. They've discussed their  
12 private jets in some of the emails,

From: ["Warren Mosler" <warren.mosler@gmail.com>](mailto:warren.mosler@gmail.com)  
To: ["Savvas Savopoulos" <savvas@savopoulos.com>](mailto:savvas@savopoulos.com)  
CC:  
Date: 7/25/2010 7:21:16 AM  
Subject: Re: This week

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On Sat, Jul 24, 2010 at 7:23 PM, Savvas Savopoulos <[savvas@savopoulos.com](mailto:savvas@savopoulos.com)> wrote:

Ok. He wants to come anyway, so I'll let him talk to Sylvia. Will you let her know we're coming and that its okay to discuss these things with us? will do!

In terms of discretion, I can be as discrete as you want. I can either inquire of people as a consultant or as a buyer. Just let me know which you prefer. let them know you're considering buying shares of the company and investing in it.

I may want to hop over to England to meet with Martin Short in the next couple weeks (probably next week) if that is ok with you.

yes! **your plane?** never did take my plane there.

In Eagle, what do you think of Red Sky golf club? I may be your neighbor soon! I like it a lot. And if you are there and we belong to Cordillera we can all play at either one.

Keep me posted!



**STEP 6:** Face-to-Face with the men and women who control America's money, PITCH "Modern Monetary Theory" – and simply OBSERVE REACTIONS.

Like professional poker players closely discern what those on the other side of the table are thinking; Warren Mosler bragged to me that he knows precisely if the Federal Reserve members that he's pitching are "Buying it" or if they think excessive money printing is a bad idea.

The bets that Warren Mosler informs his \$57,000,000 hedge fund on are as simple Buy-X or Sell-X decisions. If Mosler has discerned that the Federal Reserve is going to accelerate printing money, he informs III-CM to make bets on Bonds and Derivatives consisted with those bets WINNING in response to the money supply expanding.

Similarly, once the excessive money-printing results in the inevitable inflation; Mosler can sell bonds at the current lower interest rate in anticipation of having cash available to buy the same-duration Bond at a higher interest rate soon thereafter.

The "bets" occur between two parties. Hedge Funds don't create anything – it is what's called a "zero sum game". Thus for every Warren Mosler bet that the CHEATED-TO-WIN; someone else lost.

### **Pension funds were often the losers.**

Furthermore, by having insider information on the U.S. Federal Reserve Notes he is effectively stealing a tiny bit from every taxpayer. That tiny bit from every taxpayer has made Warren Mosler into a multi-billionaire.

Now, as a money LENDER; Mosler again benefits from the Warlord Economics the plagued us with. As Mosler giggles (on one of his now-hidden videos); that excessive money printing forced the Fed into high interest rates. Those high interest rates hurt ordinary people, but make the rich ever richer....the rich are the ones lending money at the now-higher interest rates. The rest of us can scarcely afford groceries.

The proverbial cherry on top is that Mosler positioned himself in the St. Croix, USVI; so he enjoys all the protections and laws of the United States military and court system; but **only pays 3 ½% federal tax.**

**STEP 7:** Once people catch on that MMT was a scam (that severely harmed billions of people), delete/hide the numerous blogs that helped Mosler obtain the insider access.

